

Important Information:

Hållbarhetsprofilen has been developed with the aim of facilitating an individual investor’s understanding of funds’ sustainability profiles and thus enabling comparisons. Hållbarhetsprofilen shall not be viewed as a legal document and is not to be equated with, nor replace in whole or in part, information that the fund company is under a duty to provide in accordance with law and/or regulation. The information in Hållbarhetsprofilen is provided and presented by the fund companies. Swesif is not responsible for the information provided in Hållbarhetsprofilen. A fund company is fully responsible for its information being accurate, not misleading, updated at least once a year, and that the information provided hereunder does not contradict any other information issued by that fund company.

Complete information regarding the fund’s sustainability work and legal documentation can be found under ‘Further information regarding the Fund’.

Fund name and fund company’s logo

Description of fund

Insert comment

The fund’s sustainability classification in accordance with SFDR

Sustainable Finance Disclosure Regulation (SFDR) is an EU joint regulation on sustainability-related information. The SFDR regulation requires financial actors such as fund companies to provide information regarding the contribution of a fund to an objective of an environmental or social nature, along with the extent of such a contribution. There are three different categories regarding the level that fund management takes sustainability into consideration. The three categories are: an Article 6 Fund; an Article 8 Fund; or an Article 9 Fund.

- The fund has sustainable investments as its objective– Article 9

- The fund promotes environmental and/or social characteristics – Article 8

- The fund does not have sustainability as its objective, but may take sustainability risks into account – Article 6

(Article 9) The fund has sustainable investments as its objective

Insert comments

(Article 8) The fund promotes environmental and/or social issues

Insert comments

Minimum percentage of sustainable investments

The minimum percentage (%) of sustainable investments in the fund, and the prospective minimum percentage (%) of investments (excluding sovereign debt) in environmentally sustainable economic activities as prescribed under the EU taxonomy regulation.

- Minimum percentage (%) of sustainable investments in the fund.
- Minimum percentage (%) of investments (excluding sovereign debt) in environmentally sustainable economic activities as prescribed under the EU taxonomy regulation.

Insert comments

Method: Inclusion

The fund selects investments that are deemed sustainable

Insert comments

Method: Exclusion

The fund excludes companies that are deemed to be unsustainable

Exclusion implies that the fund does not invest in companies whose operations are not sustainable or that violate international norms and conventions e.g. The UN Declaration on Human Rights.

Exclusions are made on the basis of companies with revenues above five percent from the production and/or distribution of:

Exclusions based on environmental factors

- Fossil fuels
- Coal
- Oil
- Palm oil
- Uranium
- Other

Fund company’s comments:

Exclusions based on social factors

- Alcohol
- Pesticides
- Cannabis
- Animal testing
- Factory farming (Industrial breeding of animals)
- Stem cell research

Articles 6, 8, and 9 – Fund’s Template

- Genetic engineering
- GMO (Genetically Modified Organisms)
- Commercial Gaming Operations
- Controversial weapons
- Nuclear weapons
- Pornography
- Fur production
- Tobacco
- Weapons & military contracting
- Other

Fund company’s comments:

Exclusions based on international standards

- Companies that do not comply with the UN Global Compact
- Companies that do not comply with the OECD guidelines
- Companies that do not comply with the ILO (UN standards on labour rights)
- Other

Fund company’s comments:

Exclusions based on countries

- Based on possession of nuclear weapons
- Based on non-compliance with nuclear non-proliferation treaty
- Based on the assessment of international sustainability organisations
- Based on corruption
- Based on the permissibility of capital punishment
- Based on starting a war
- Based on non-compliance with the Paris Agreement
- Other

Fund company’s comments:

Method: Corporate engagement by the fund

The fund is in dialogue with companies in order to influence them in a more sustainable direction.

- Corporate engagement conducted by fund manager

Fund company’s comments:

- Corporate engagement conducted in collaboration with other investors

Fund company’s comments:

Method: The fund takes into consideration the following Principle Adverse Impacts

This implies that when selecting an investment, the fund must not, cause significant negative impacts. In addition, the fund must take into consideration sustainability factors within ESG, i.e., the environment, social sustainability and corporate governance. Examples of sustainability factors include emissions, equality and corruption.

Insert comments

Sustainability indicators related to the environment

- Greenhouse gas emissions
- Carbon footprint
- GHG intensity of investee companies
- Exposure to companies in the fossil fuel sector
- Share of non-renewable energy consumption and production
- Energy consumption intensity per high impact climate sector
- Emission of inorganic pollutants
- Emission of air pollutants
- Emissions of ozone depletion substances
- Investing in companies without carbon emission reduction initiatives

Sustainability indicators related to biodiversity

- Activities negatively affecting biodiversity-sensitive areas

Sustainability indicators related to waste

- Hazardous waste and radioactive waste ratio

Sustainability indicators related to water

- Emissions to water
- Water usage and recycling
- Investments in companies without water management policies
- Exposure to areas of high water stress

Sustainability indicators related to social sustainability

- Violations of UN Global Compact principles and Organisation for Economic Cooperation and Development (OECD) Guidelines for Multinational Enterprises
- Lack of processes and compliance mechanisms to monitor compliance with UN Global Compact principles and OECD Guidelines for Multinational Enterprises
- Exposure to controversial weapons (antipersonnel mines, cluster munitions, chemical weapons and biological weapons)
- Social violations

Sustainability indicators related to labour rights

- Unadjusted gender pay gap
- Board gender diversity
- Investment in investee companies without workplace accident prevention policies
- Rate of accidents
- Number of days lost to injuries, accidents, fatalities or illness
- Lack of a supplier code of conduct
- Lack of grievance/complaints handling mechanism related to employee matters
- Insufficient whistleblower protection
- Incidents of discrimination
- Excessive CEO pay ratio

Sustainability indicators related to energy consumption

- Breakdown of energy consumption by type of non-renewable sources of energy

Sustainability indicators related to emissions and inputs

- Investment in companies producing chemicals
- Land degradation, desertification, soil sealing
- Investments in companies without sustainable land/agriculture practices
- Investments in companies without sustainable oceans/seas practices
- Non-recycled waste ratio
- Natural species and protected areas
- Deforestation

Sustainability indicators related to green bonds

- Share of securities not certified as green

Sustainability indicators in government securities and treasury bonds

- Share of bonds not certified as green under a future EU act setting up an EU Green Bond Standard

Sustainability indicators in real estate investments

- Exposure to fossil fuel through real estate assets
- Exposure to energy-inefficient real estate assets
- GHG emissions
- Energy consumption intensity
- Waste production in operations
- Raw materials consumption for new construction and major renovations
- Land artificialisation

Sustainability indicators related to human rights

- Lack of a human rights policy
- Lack of due diligence
- Investment in companies that have inadequate policies regarding trafficking
- Operations and suppliers at significant risk of incidents of child labour
- Operations and suppliers at significant risk of incidents of forced or compulsory labour
- Number of identified cases of severe human rights issues and incidents

Sustainability indicators related to corruption

- Lack of anti-corruption and anti-bribery policies
- Cases of insufficient action taken to address breaches of standards of anti-corruption and antibribery
- Number of convictions and amount of fines for violation of anti-corruption and anti-bribery laws

Sovereign and supranationals

- GHG intensity
- Average income inequality score
- Average freedom of expression score
- Average human rights performance
- Average corruption score
- Non-cooperative taxes jurisdictions
- Average political stability score
- Average rule of law score

Further information regarding the Fund

Supplementary input to standard sustainability information

Mandatory information based on regulatory requirements:

The fund manager publishes sustainability information in accordance with SFDR/EU taxonomy regulation.

Link to the report/documentation:

Voluntary information:

- The fund’s investments are published on the fund company’s website.

Link:

Update frequency:

- The fund's carbon footprint is published annually on the fund company's website.

Link to report:

Fund company’s comments:

- The fund manager publishes companies that are excluded as a result of criteria regarding sustainability risks.

Link to the report/documentation:

Fund company’s comments:

- The fund manager publishes an annual sustainability report.

Link to report:

Fund company’s comments:

- Other

Fund company’s comments:

Swesif contact details

Insert a minimum of one email address that Swesif and/or Digia shall use to contact the fund company.

N.B. This information is only to be used internally by Swesif to share important information with the fund companies e.g., regarding updates to Hållbarhetsprofilen’s guidelines. This information is therefore not displayed on Hållbarhetsprofilen’s public website.

- Group email:

- Contact person:
Name:
Email (obligatory):
Telephone:

- Contact person:
Name:
Email (obligatory):
Telephone:

The information in Hållbarhetsprofilen is provided and prepared by the fund companies.

The fund company is responsible for ensuring that the information in the sustainability declaration is correct and updated at least once a year.

Contact the fund company for more information regarding the fund's sustainability work.

Link to website:

Contact information (email):

I confirm that the information provided is correct. I agree to update Hållbarhetsprofilen at least once a year or in the event of amendments to content or the application of the fund's sustainability criteria.

Contact our office for more information regarding Swesif. Contact details can be found at www.swesif.org