

## Hållbarhetsprofilen (Swesif's sustainability declaration for funds)

- The instructions for completing Hållbarhetsprofilen are in red, and are not visible to the reader in the published version.
- In order to avoid lengthy descriptions, the comments fields are limited to 300 characters.

Last updated 20XX-XX-XX

### Fund name and fund company's logo

### Fund description

[Give a brief description of the fund and its composition, e.g. if the fund is sector-specific or region-specific.]

### Sustainability information

[One of these options must be selected.

If the first option is checked, the fund management company shall also provide information under the following headings. If the second option is checked, the headings "Sustainability aspects taken into account in the management of the fund", the methods "Positive Screening", "Negative Screening" (with the exception described below) and "The fund management company influences", as well as "Resources" will not be possible to fill out.

However, where sustainability aspects are not taken into consideration in the management of a fund but where the fund's investment policy nonetheless means that the fund is free from certain products and services, information about this can be provided under the heading "Negative screening – Products and services". The purpose of this is to facilitate for consumers wishing to use the search function.]

- Sustainability aspects are taken into account in the management of the fund.  
Fund management company's comments:
- Sustainability aspects are not taken into account in the management of the fund.  
Fund management company's comments:

[Fund management company's comments: In this comment the fund management company can provide general information that facilitates an assessment of the fund in respect of sustainability. The comment can, for example, include the following information:

- If the fund is sustainable for reasons other than that sustainability work is carried out in respect of the fund, e.g. if the fund is to be regarded as sustainable on account of its investment strategy (debt instruments issued by the Swedish government is one example).
- For funds, e.g. mixed/balanced funds, where the investment strategy entails an inability to carry out sustainability work for part of the portfolio, this comment should specify the portion of holdings for which sustainability work can be carried out.
- For funds of funds this comment should specify whether the sustainability work refers to the selection of underlying funds or if the fund of funds adopts a look-through approach to its underlying funds and selects funds whose holdings corresponds to the fund of funds' sustainability principles.

Other clarification that the fund management company wishes to make can also be made here.]

### Sustainability aspects taken into account in the management of the fund

[Applicable options shall be checked. At least one of the options must be selected.]

- Environmental aspects (e.g. the companies' environmental and climate impact).  
Fund management company's comments:

- Social aspects (e.g. human rights, employee rights and equal opportunity).  
Fund management company's comments:
  
- Corporate governance aspects (e.g. shareholders' rights, issues relating to remuneration for senior executives, and anti-corruption work).  
Fund management company's comments:
  
- Other sustainability aspects.  
Fund management company's comments:  
[Specify any other sustainability aspects taken into account.]

### **Positive screening**

[Applicable options shall be checked. Only one of the two first options can be selected. For all options full information shall be provided regarding the fund management company's methods. The information shall be provided on the fund management company's website and shall enable readers to understand the reasons behind the standardised options checked below.]

- Sustainability aspects are critical in the manager's choice of companies.  
*The fund has specific and explicit criteria for positive selection of companies, based on environmental, social and business ethics issues. An analysis of the companies' sustainability work is critical to the selection of the companies in the fund.*

*This option also refers to funds that select companies on the basis of a specified sustainability-related theme, such as climate, water, ecotechnology, or social sustainability, and to funds that only invest in projects or operations with quantifiable social or environmental benefits.*

Fund management company's comments:

[If sustainability aspects are critical in the manager's choice of companies, the method used should be summarised in the comment. This applies to both fundamental and quant based strategies that use sustainability as their basis.]

- The manager of the fund takes sustainability issues into account.  
*Sustainability issues are taken into account in the context of corporate economic analyses and investment decisions and play a part, but not necessarily a crucial one, in determining which companies are selected for inclusion in the fund.*

*This option refers to funds which explicitly and systematically integrate sustainability aspects into their economic analyses and investment decisions. Sustainability aspects are explicitly part of the investment process, are continuously analysed, and affect the fund's investments.*

Fund management company's comments:

### **Other**

- Other method of positive screening used by the fund.  
Fund management company's comments:  
[Specify the method used by the fund.]

## Negative screening

### Products and services

The fund does not invest in companies that are involved in the following products and services. A maximum of 5% of the turnover in the company in which the investment is made may entail operations attributable to the specified product or service.

The following check options can be used where sustainability aspects are not taken into account in the management of a fund but where certain products and services are not included in the fund as a result of its investment policy.

- Cluster bombs, landmines  
Fund management company's comments:
- Chemical and biological weapons  
Fund management company's comments:
- Nuclear weapons  
Fund management company's comments:
- Weapons and/or munitions  
Fund management company's comments:
- Alcohol  
Fund management company's comments:
- Tobacco  
Fund management company's comments:
- Commercial gambling operations  
Fund management company's comments:
- Pornography  
Fund management company's comments:
- Fossil fuels (oil, gas, coal)  
Fund management company's comments:
- Coal  
[This option refers to funds that exclude coal, but not other fossil fuels.]  
Fund management company's comments:
- Uranium  
Fund management company's comments:
- Genetically modified organisms (GMO)  
Fund management company's comments:
- Other  
[Specify any other products or services excluded.]  
Fund management company's comments:

### International norms

International norms refer to international conventions, laws and agreements such as the UN Global Compact and OECD guidelines for multinational companies that relate to issues concerning the environment, human rights, labour practices, and business ethics.

[This option refers to funds that apply reactive sustainability analysis and exclude companies because they violate international norms. Select one of the two options below, depending on how comprehensive the exclusions made due to violations of international norms are. Merely a

review with regard to norm violations – but where the violations do not result in exclusions – are insufficient grounds for checking either of these options.]

- The fund does not invest in companies that violate international norms.  
*The assessment is carried out either by the fund management company or a third party.*

[If the fund also excludes companies suspected of and investigated with regard to breaches, this should be specified in a separate comment.]

Fund management company's comments:

- The fund does not invest in companies which do not address identified problems or where the fund makes the assessment that the company will not address the problems within a time frame deemed reasonable in that specific case.  
*This option refers to funds that draw up an action plan for questionable companies which are excluded if the specified conditions are not met within a specified period of time.*

Fund management company's comments:

#### **Countries**

- For sustainability reasons, the fund does not invest in companies involved in certain countries/interest-bearing securities issued by certain states.  
*This option refers to funds that carry out a country-specific sustainability analysis that results in the exclusion of companies involved in certain countries or of interest-bearing securities issued by certain states.*

[Specify which countries are excluded and the reasons for the decision. Please note that this option refers solely to sustainability-related country analysis against explicit sustainability criteria. Geographic restrictions applied for other reasons, such as the fund's investment objective, do not constitute grounds for checking this option.]

Fund management company's comments:

#### **Other**

- Other**  
Fund management company's comments:  
[Specify any other exclusionary criteria]

#### **The fund management company influences**

[Applicable options shall be checked. Note that if the fund management company is to check this option, the exertion of influence must be relevant to the fund in question.]

The fund management company exercises its investor influence to influence companies on sustainability issues. The fund management company engages with companies with a view to influencing them to adopt a more sustainable approach.

- In-house investor influence  
Fund management company's comments:
- Investor influence in cooperation with other investors  
Fund management company's comments:
- Investor influence through external suppliers/consultants  
Fund management company's comments:

- Voting at General Meetings  
Fund management company's comments:
- Participation in nomination procedures in order to influence the composition of the Board  
Fund management company's comments:
- Other forms of investor influence  
Fund management company's comments:  
[Specify]

## Resources

*(Optional information in addition to the standard of sustainability information)*

Resources for analysis, follow-up and control

- The fund uses in-house resources for sustainability analysis and engagement.  
Fund management company's comments:  
[Specify scope of the resources and which assignments are handled internally]
- The fund uses external resources for sustainability analysis and engagement.  
Fund management company's comments:  
[Specify scope of the resources and which assignments are handled externally]
- Other  
Fund management company's comments:  
[Specify]

## Additional information

*(Optional information in addition to the standard of sustainability information)*

- The fund's investments are published on the fund company's website.  
Link:  
Update frequency:  
Fund management company's comments:
- The fund's carbon footprint assessment is published annually on the fund company's website.  
Link to the report:  
Fund management company's comments:
- The fund manager publishes which companies are excluded from investment, based on sustainability criteria.  
Link to the report:  
Fund management company's comments:
- Fund manager publishes an annual sustainability report.  
Link to the report:  
Fund management company's comments:
- The fund company publishes sustainability information in accordance with the EU regulation on sustainability-related information (SFDR). Link to report:

- Other  
Fund management company's comments:

**Other**

The fund company is responsible for ensuring that the information in Hållbarhetsprofilen is correct and is reviewed and updated at least annually. For more information on the fund's sustainability work, please contact the fund company.

Link to website:

Contact details:

**Contact details for Swesif**

The following contact details are used when Swesif needs to contact the fund company. At least one email address must be entered - preferably two.

Note that this information will not be displayed on the public website of Hållbarhetsprofilen. It will only be used internally by Swesif, in order to provide important information to the fund companies about for example updates of the guidelines of Hållbarhetsprofilen.

Contact person 1

Name:

E-mail (mandatory):

Telephone:

Contact person 2

Name:

E-mail (mandatory):

Telephone:

Hållbarhetsprofilen is a form for sustainability-related information in order to improve access to information on the fund's sustainability issues and focus and to enable customers to make fund comparisons. The information in Hållbarhetsprofilen is provided and presented by the fund companies. The fund companies ensure that the information complies with Swesif's guidelines and that all information is correct and is updated at least once a year.

- I hereby confirm that the details given are correct and comply with Swesif's guidelines for Hållbarhetsprofilen. I commit to updating Hållbarhetsprofilen at least once a year, as well as whenever any changes occur in the content or application of the fund's sustainability criteria.